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Company:	United States Patent and Trademark Office - Group Art Unit 3624			Voice No.: (571)272-6748
<u>Message</u>				

Re: Inventor : Lawrence P. Shields, et al.
 Serial No. : 09/779,114
 Filing Date : February 8, 2001
 Title : SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE
 PURSUANT TO STOCK OPTION...
 Att'y Docket : 74622-040

Attached please find the following:

- 1) Power of Attorney and Correspondence Address Indication Form
- 2) Statement Under 37 CFR 3.73(b)

Please contact John C. Stellabotte at (212) 969-3413 if you have any questions.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Lawrence P. Shields, et al.Application No./Patent No.: 09/779,114 Filed/Issue Date: February 8, 2001Entitled: **SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO STOCK OPTION...**

UBS Financial Services, Inc.

Corporation

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Title

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